Cyberinfrastructure Center of Excellence Pilot

Ewa Deelman, USC (PI)

Co-PIs:
Anirban Mandal, RENCI
Jarek Nabrzyski, Notre Dame University
Valerio Pascucci and Rob Ricci, University of Utah

Funded by the National Science Foundation
Grant #1842042
- CiCOE Pilot project introduction and goals
- Data Life Cycle (DLC)
- DLC case study - IceCube Large Facility (LF)
- DLC taxonomy across four LFs
- Disaster Recovery (DR) in the context of DLC
- DR planning case study – IceCube LF
- Lessons learned from initial engagements
- Feedback from participants
Recognizing the importance of CI in Large Facilities

• Establish a center of excellence (following a model similar to the NSF-funded Center for Trustworthy Scientific Cyberinfrastructure, CTSC) as a resource providing expertise in CI technologies and effective practices related to large-scale facilities as they conceptualize, start up, and operate.

• Foster the creation of a facilities’ CI community and establish mechanisms and resources to enable the community to interact, collaborate, and share.

Manish Parashar (PI and Chair), Rutgers University and OOI
Stuart Anderson, LIGO
Ewa Deelman, USC
Valerio Pascucci, University of Utah
Donald Petravick, LSST
Ellen M. Rathje, NHERI

NSF Large Facilities Cyberinfrastructure Workshop

September 2017 Workshop report at http://facilitiesci.org/
Develop a model and a plan for a Cyberinfrastructure Center of Excellence

- Platform for knowledge sharing and community building
- Key partner for the establishment and improvement of Large Facilities with advanced CI architecture designs
- Grounded in re-use of dependable CI tools and solutions
- Forum for discussions about CI sustainability and workforce development and training
- Pilot a study for a CI CoE through close engagement with NEON and further engagement with other LFs and large CI projects.
Data Life Cycle (DLC) and Disaster Recovery (DR) for Large Facilities

Team members

CI CoE Pilot: Anirban Mandal, Laura Christopherson, Erik Scott, Ilya Baldin, Paul Ruth (RENCI)

NEON: Philip Harvey, Steve Jacobs, Tom Gulbransen (NEON Large Facility, Boulder)

IceCube: Benedikt Riedel (Wisconsin IceCube Particle Astrophysics Center)
• Understand and document the best practices and solutions for data life cycle (DLC) and disaster recovery (DR) plans for Large Facilities (LFs).

• Develop DLC model, taxonomy and DR planning models
  • a generalizable DLC model for LFs based on engagements with LFs: NEON, IceCube, and others.
  • a taxonomy of CI services, architectures, and functionalities that support the different DLC stages.
  • effective process guides for DR planning for LFs in the context of DLC stages.
Data Life Cycle for LFs

WHAT?
- Data Capture
- Initial data filtering/processing
- Central data processing
- Data Archiving and Storage
- Data Access/Publishing/Distribution

WHERE?
- some type of sensor or instrument (e.g., GRAPEs, telescope, DOMs)
- often at the sensor site, or nearby
- main data center
- main data center
- secondary data center(s)
- secondary data center(s)

WHAT? WHERE?
- Scientists/public

Different forms of transmission/movement (e.g., plane, satellite, cables), redundant network links...

Data Movement

Disaster Recovery (DR)
DLC case study – IceCube Large Facility

IceCube materials courtesy: Dr. Benedikt Riedel, Wisconsin IceCube Particle Astrophysics Center
• Data represents
  • hits
  • events – time period of interest with fixed read out window
  • metadata and secondary streams (e.g., time calibration, monitoring)

• 4 types of data
  • PFRAW - full data set originating at the South Pole (~3TB/day)
  • PFFILT Level 1 - ~100 GB/day of PFRAW that is filtered and send to UW-Madison
  • Level 2 - Level 1 data that has directional reconstructions and is "science ready"
  • Level 3 - Level 2 data that has been reduced, with extra reconstructions applied, by a particular science working group
IceCube Data Life Cycle: Data Capture

IceCube Laboratory
Data is collected here and sent by satellite to the data warehouse at UW-Madison

IceCube In-Ice Array
86 strings including DeepCore
5160 optical sensors

Amundsen–Scott South Pole Station, Antarctica
A National Science Foundation-managed research facility

IceTop
81 stations / 162 tanks
324 optical sensors

DeepCore
8 strings optimized for lower energy
7 standard central strings
480 + 420 optical sensors

Eiffel Tower
324 m

Digital Optical Module (DOM)
5,160 DOMs deployed in the ice

IceCube detector
86 strings of DOMs, set 123 meters apart

DOMs are 17 meters apart

60 DOMs on each string

Antarctic bedrock

2450 m

1450 m

50 m
Initial filtering, processing: South Pole

• Data is received by DOMHubs and IceCube Lab (surface of South Pole) - ~500 core filtering cluster; ~100 machines for detector readout; Hits are output as events.

• Internal PnF system selects events based on their usefulness for a particular analysis. It also creates event metadata and reduces data volume before it is transmitted away from the South Pole.

• Alert production is an important step that happens at the South Pole.
Central processing: UW-Madison processes what is sent from the South Pole to a “science ready level” or level 3.

- UW-Madison 6500 core, 300 GPU cluster, ~10 PB storage
- Additional downstream processing happens using a mix of resources: DESY, OSG, IceCube Grid (campus clusters, contributed resources, etc.), XSEDE allocations, DOE resources (e.g. NERSC)
- Increased demand for GPU resources
- PyGlidein + HTCondor based distributed computing middleware
- Exploring cloud resources for CPU, GPU, ML
IceCube Data Life Cycle: Movement

1. Hits at DOMs
2. Sent to DOMHubs
3. Sent to Data Acquisition System (DAQ) - hits are output to events (PFRAW)
4. Sent to Processing and Filtering System (PnF) - PFRAW made ready for analyses
5. Sent to South Pole Station JADE for archival storage to disk (PFRAW and PFFILT/Level 1)
6. JADE transmits via satellite to UW-Madison (PFFILT)
7. PFFILT sent to DESY and PFRAW sent to NERSC for additional tape backups

In addition, Alerts are sent out using GCN (Gamma Ray Coordination Network - operated by NASA) or Astronomical telegrams along with initial estimate of PFRAW data sample via satellite link to UW.

- Limited bandwidth of ~125 GB/day from South Pole to UW; 3TB/day raw data is filtered down to ~80GB/day and transmitted via satellite from South Pole Station to UW
- Once a year, raw data from the South Pole is sent via plane and disks to UW-Madison
- UW connected to SciDMZ through Starlight-ESNet for connection to DOE facilities
- Leverages GridFTP for data transfers from UW-Madison to DESY/NERSC/OSG
JADE (archival system) exists in ~3 locations

- South Pole JADE - writes 2 copies to disk (3 TB/day)
- JADE North (UW) - warehouses the data to disk (~200 TB/yr)
- JADE Long Term Archive (LTA) in DESY – keeps replicas of Level 1 and 2 data

NERSC archives PFRAW
Dissemination of Alerts

- Alerts happen at the South Pole during Level 1 processing.

- Alerting systems detect events and then an immediate alert is sent out using GCN (Gamma Ray Coordination Network - operated by NASA) or Astronomical telegrams along with initial estimate/small portion of PFRAW data sample via satellite link to UW.

- When a full PFFILT data set is available at UW later, a refinement of the first alert is sent.
3 forms of data access eligibility:

• Be a member of the IceCube Collaboration

• Be an "associate member" which means one applies for use of the data for a particular purpose but is not required to fulfill collaboration obligations

• By anyone using the public data pages on the web

Planned enhancements for data organization, management, access, and data catalog

• Xrootd-based solution, Ceph/www

Data is released to members and associates. When the data has been analyzed and those analyses published, it becomes available for release to others.
All PFRAW disk 1/year

IceCube Lab
~500 core filtering cluster
~100 machines for detector readout
2 copies of all data archived

OSG, XSEDE, DOE Facilities, Campus clusters

DESY
Replicates Level 1 and 2 from UW; Tape backup
½ of Level 2 processing
Grid: 384 cores, ~6300 HEPSpec06
Storage: 360 TB dCache, 150 TB Lustre
Local CPU: 1000 cores, ~13,800 HEPSpec06

NERSC
Replicates Level 2 and 3 from UW; Tape backup

Grid FTP

UW-Madison
6500 core, 300 GPU cluster
~10 PB storage
SciDMZ through Starlight
ESNET for connection to DOE facilities

Grid FTP

SciDMZ through Starlight for connection to DOE facilities

IceCube Logical Architecture

IceCube materials courtesy: Dr. Benedikt Riedel, Wisconsin IceCube Particle Astrophysics Center
DLC Taxonomy for LFs

NEON materials courtesy: Tom Gulbransen, Battelle
OOI materials courtesy: Dr. Ivan Rodero, Rutgers Discovery Informatics Institute
IceCube materials courtesy: Dr. Benedikt Riedel, Wisconsin IceCube Particle Astrophysics Center
<table>
<thead>
<tr>
<th>NEON</th>
<th>OOI</th>
<th>IceCube</th>
<th>LSST</th>
</tr>
</thead>
</table>
| 6 levels of data  
- L0: raw data  
- L0': raw data formatted for external use  
- L1: unit conversions, time averaged, calibrated, quality checked  
- L2: temporally interpolated  
- L3: spatially interpolated  
- L4: integrated data products such as indices or fluxes. | Data comes from  
- Cabled sensors (150kbps, 0.6 TB/yr)  
- Uncabled sensors (440kbps, 70 TB/yr)  
- Video (1.95 Gbps, 7.7 PB/yr) | Data includes:  
- hits  
- events  
- metadata and secondary streams (e.g., time calibration, monitoring) | 3 main types:  
- Prompt/nightly including alerts released every 60 seconds and raw images released every 24 hours  
- Data Release - prompt/nightly data that has been processed/filtered, released annually  
- User Generated - Data Release that has been used by project teams and may be released to others as Data Release |
| 3 types of data  
- observational samples (OS)  
- instrumented systems (IS)  
- aerial observatory platform (AOP) | | | |

4 types:  
- PFRAW - full data set originating at the South Pole (~3TB/day)  
- PFFILT Level 1 - ~100 GB/day of PFRAW that is filtered and send to UW-Madison  
- Level 2 - Level 1 data that has directional reconstructions and is “science ready”  
- Level 3 - Level 2 data that has been reduced, with extra reconstructions applied, by a particular working group |
Data Life Cycle: Data Capture

NEON

LSST

IceCube

OOI
<table>
<thead>
<tr>
<th>NEON</th>
<th>OOI</th>
<th>IceCube</th>
<th>LSST</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Initial filtering, processing</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>At/near Data Capture Location</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
| • Eddy covariance may be applied to IS data at field sites. | Drivers/parsers receive data from the acquisition pipeline shown in the previous slide. | South Pole:  
• Data is received by DOMHubs and IceCube Lab (surface of South Pole) - ~500 core filtering cluster. Hits are output as events.  
• Internal PnF system selects events based on their usefulness for a particular analysis. It also creates metadata and reduces data volume before it is transmitted away from the South Pole.  
• Alert production is an important step that happens here. | Base Facility, La Serena Chile  
• real time alert generation  
• initial detector cross-talk correction  
• metadata creation |
| **Central processing** | | | |
| **At/near Data Center Location(s)** | | | |
| Iron Mountain Data Center (DEN-1) in Denver  
• Apache Airflow (possibly use Pachyderm in future). All dockerized.  
• Oracle PDR (OS data), Elastic Cloud Storage (IS data), Common Object Storage (AOP data)  
• All transitions pipelines run in the data center.  
• Heavy processing largely takes place in the VMWare resource cluster with metadata/data pulled from ECS and SC9000 SAN  
• 476 total cores, 8.5 TB RAM (total) | Rutgers uFrame databases perform quality control, construct alerts/alarms, create calibration info, format the data, and generate metadata. Beyond this, P/F typically happens on demand when a user requests it. | UW-Madison processes what is sent from the South Pole to a "science ready level" or level 3, and coordinates simulation production.  
• 6500 core, 300 GPU cluster  
• ~10 PB storage  
Additional downstream processing happens using a mix of resources: DESY, OSG, IceCube Grid (campus clusters, contributed resources, etc.), XSEDE allocations, DOE resources (e.g. NERSC)  
Increased demand for GPU  
PyGlidein + HTCondor based distributed computing middleware  
Exploring cloud resources for GPU, ML | NCSA  
• Nightly/Alert production  
• 50% of Data Release production  
• Calibration production  
• moving object  
France  
• the other 50% of Data Release production |

*NEON, OOI, IceCube, LSST, USC Viterbi, University of Notre Dame, University of Utah, Center for Applied Cybersecurity Research*
### Data Life Cycle: Movement

#### NEON

| OS data | 1. Collected on Fulcrum tablets.  
2. Tablets physically connected/uploaded to the network and parsed in pipeline parser.  
3. Loaded into the Processed Data Repository (PDR).  

| IS data | 1. Collected via Smart Sensors (SS) or GRAPES.  
2. Potentially logged, buffered, processed on site.  
3. Uploaded to the network.  

| AOP data | 1. Collected via hotel kits on the plane.  
2. Data is saved on a server in the plane.  
3. Server’s drives are removed and physically transported to common object storage in Elastic Cloud Storage (ECS).  
4. Processed in ECS.  

#### OOI

| Cabled data | 1. Sent to a platform/junction box, then to an electro-optical cable.  
2. Sent to a shore station.  
3.Parsed.  
4. Sent to the uFrame databases for other forms of processing (e.g., quality control, calibration). Algorithms are applied in uFrame.  
5. Made available to users. |

| Physically recovered and telemetered data | 1. From the instrument to the platform.  
2. Recovered (e.g., by ship) or sent via satellite to a shore server.  
3. Then to an acquisition server.  
4. Parsed.  
5. uFrame framework and databases for more processing. Algorithms in uFrame.  
6. Made available to users. |

#### IceCube

| Cabled data | 1. Hits at DOMs.  
2. Sent to DOMHubs.  
3. Sent to Data Acquisition System (DAQ) - hits are output to events (PFRAW).  
4. Sent to Processing and Filtering System (PnF) - PFRAW made ready for analyses.  
5. Sent to South Pole JADE for archival storage to disk (PFFILT/Level 1).  
6. JADE transmits via satellite to UW-Madison (PFFILT).  
7. PFFILT sent to DESY and PFRAW sent to NERSC for additional tape backups. |

| Physically recovered and telemetered data | * Alerts sent via GCN or Astronomical telegrams along with small portion of PFRAW via satellite link.  
* Limited bandwidth of ~125 GB/day; 3TB/day raw data is filtered down to ~80GB/day and transmitted via satellite from South Pole Station to UW.  
* Once a year data from the South Pole (triggered) is sent via plane and disks to UW-Madison ~125 GB/day from South Pole to UW.  
* UW connected to SciDMZ through Starlight-ESNet for connection to DOE facilities.  
* Leverages GridFTP for data transfers from UW to DESY/NERSC/OSG. |

#### LSST

| Telescope | 1. Telescope.  
2. Base Facility in La Serena, Chile.  
3. NCSA, Illinois.  
4. France.  
5. Data Access Centers (DACs) (e.g. Base Facility, NCSA). |

| 2 x 100 Gbps from Base to NCSA | 600 Gbps from Summit to Base |

---

**Additional Notes:**

- Leverages GridFTP for data transfers from UW to DESY/NERSC/OSG.
### Data Life Cycle: Archiving

<table>
<thead>
<tr>
<th>NEON</th>
<th>OOI</th>
<th>IceCube</th>
<th>LSST</th>
</tr>
</thead>
</table>
| DEN-1 in Denver is the primary location for ingest, storage, transition and publishing.  
• Data may be archived in several kinds of stores: file-oriented, relational databases, NoSQL database  
• Automated data movement in and out of storage arrays  
• Long-term source code control, archive, and version management  
• Software: Oracle DB for PDR, elastic cloud storage, Drupal content management system  
• Hardware:  
  • 104 cores assigned to replicated Oracle installations, two database portals, and bulk movement of data from the Oracle database environment into the bulk object storage  
  • Dell EMC SC9000 SAN general purpose storage  
  • 2 Dell EMC Elastic Cloud Storage replicates the data between each other  
  • Partners (e.g., DataONE) keep synced caches of NEON data | Copies of data are kept by the two data centers and each partner keeps a copy of the data as well.  
• Portland, OR - Cassandra cluster (~50TB) for raw, SAN (~2PB) for formatted/“gold”, NAS for user access (~500TB); 126 dual-socket nodes; uFrame, VMware; 100GB network backbone  
• Rutgers, NJ - Same as Portland except also includes a tape archive (~18PB)  
Overall capacity: 25PB storage, 126 servers (dual Xeon) | JADE (archival system) exists in ~3 locations  
• South Pole JADE - writes 2 copies to disk (3 TB/day)  
• JADE North (UW) - warehouses the data to disk (~200 TB/yr)  
• JADE Long Term Archive (LTA) in DESY – keeps replicas of Level 1 and 1 data | Long term copies are stored in 3 locations:  
• Base Facility  
• NCSA  
• France |
|  |  | Connected via redundant Internet 2 links (10GB). Portland mirrors Rutgers. Both store and provide computing infrastructure for the data.  
All sites are linked via VPN appliances.  
SAN, Cassandra, and VMWare are backed up to tape. |  | NERSC archives PFRAW data |
### NEON
- Data portal - Drupal-based website allows querying the collection
- API - Web services interface for external users
- Disk transport (e.g., AOP data)
- Integrated connections to partner organizations (e.g., AERONET, AmeriFlux, BOLD, MG-RAST, PhenoCam)
- 130 cores and 2.5 TB of RAM (aggregate) provisioned for access via web portals, database/data mart connectivity, and data export
- Currently moving from a 1 Gbps pipeline to a 5 Gbps to allow higher speed access to data. This was slated to complete in June 2019.

### OOI
**Access means:**
- OOI Data Portal
- THREDDS server
- Raw data on the website
- ERDDAP server

Large datasets are available in NetCDF

Data products can be generated on the fly both synchronously and asynchronously

Data is served via the NAS

### IceCube
**Alert dissemination**
- Alerts happen at the South Pole during Level 1 processing.
- Alerting systems detect events and then an immediate alert is sent out using GCN (Gamma Ray Coordination Network - operated by NASA) or Astronomical telegrams along with initial estimate/small portion of PFRAW data sample via satellite link to UW.
- When a full PFFILT data set is available at UW later, a refinement of the first alert is sent.

3 forms of eligibility for access:
- Be a member of the Collaboration
- Be an “associate member” which means you apply for use of the data for a particular purpose but you are not required to fulfill Collaboration obligations
- By anyone and go through the public data pages on the web

* Planned enhancements for data organization, management, access, and data catalog (xrootd, ceph/www)

* Data is released to members and associates. When the data has been analyzed and those analyses published, it becomes available for release to others.

### LSST
**Access means:**
- At a Data Access Center (currently at La Serena and NCSA)
- Using the Science Platform (can be used from home but fewer affordances than if used at a DAC)
- APIs
IceCube Logical Architecture

- **PFFILT**
  - ~125 GB/day bandwidth
  - 100GB bundles

- **Grid FTP**
  - Replicates Level 1 and 2 from UW; Tape backup
  - ½ of Level 2 processing
  - Grid: 384 cores, ~6300 HEPSpec06
  - Storage: 360 TB dCache, 150 TB Lustre
  - Local CPU: 1000 cores, ~13,800 HEPSpec06

- **UW-Madison**
  - 6500 core, 300 GPU cluster
  - ~10 PB storage
  - SciDMZ through Starlight
  - ESNET for connection to DOE facilities

- **DESY**
  - Replicates Level 1 and 2 from UW; Tape backup

- **NERSC**
  - Replicates Level 2 and 3 from UW; Tape backup

- **OSG, XSEDE, DOE Facilities, Campus clusters**
  - Grid FTP
  - Other Processing

- **IceCube Lab**
  - ~500 core filtering cluster
  - ~100 machines for detector readout
  - 2 copies of all data archived

- **IceCube materials courtesy:** Dr. Benedikt Riedel, Wisconsin IceCube Particle Astrophysics Center
NEON Logical Data Flows

DEN-1 Central Data Center

- Dell EMC SC9000 SAN
  - Utility storage for VMs, databases, and NAS

Data Portal

- Dell Elastic Cloud Storage (ECS)
  - DEN1 Primary (2PB)
  - DEN2 Replica (2PB)
  - DEN3 Development (200TB)

Syncs/Backups
- DEN1 Primary synced with AWS Glacier Deep Storage offsite
- Unitrends Backup Server (160 TB) in DEN-1 with replica in Boulder HQ
- Veeam and Backup Server in DN-1 with replica in Boulder HQ

IS data
- sensor/GRAPE
- location controller

OS data
- tablet
- parser
- pony express drives

AOP data
- hotel kit
East Coast CI (Rutgers)
- Cassandra – 21 servers (~50TB)
- SAN – gold copy (~2PB)
- NAS – user access (~500TB)
- Tape Library – archival/backup (18PB)
- uFrame environment
- VMWare environment
- Pre-production environment
- DevOps/backup/other
- 100G network backbone
- Palo Alto PA5060 (perimeter)

West Coast CI (Pittock)
- Cassandra Cluster (~50TB)
- SAN – gold copy (~2PB)
- NAS – user access (~500TB)
- uFrame environment
- VMWare environment
- DevOps/other
- Elemental Boxes
- 100G network backbone
- Palo Alto PA5060 (perimeter)

* OOI materials courtesy: Dr. Ivan Rodero, Rutgers Discovery Informatics Institute
Base Facility, La Serena (Data Access Center)

- Processing
  - real time alert generation
  - initial detector cross-talk correction
  - metadata creation

- Computing: ~ 2400-3000 cores
- File storage: ~ 4 PB (user file workspace)
- DB storage: ~ 3 PB (user DB storage)
- Data retention of Nightly and Data Release
- Copy 1 of data

NCSA (Data Access Center)

- Processing
  - Night/Alert production
  - 50% of Data Release
  - Calibration production
  - moving object

- Computing: 18,000 cores
- File storage: ~ 24 PB
- DB storage: ~ 30 PB
- Copy 2 of data

CC-IN2P3, France

- Processing
  - the other 50% of Data Release
- Copy 3 of data
Disaster Recovery (DR) in the context of DLC
<table>
<thead>
<tr>
<th>Data Capture</th>
<th>Processing/Filtering</th>
<th>Data Movement</th>
<th>Data Archiving and Storage</th>
<th>Data Access</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>NEON</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>GRAPES can buffer up to 1 month of data. Smart sensors have limited buffering capability. Replace GRAPE if fails.</td>
<td>No failover for compute - onsite or offsite.</td>
<td>If 2nd data center is built, we might see some replication there (TBD).</td>
<td>Replication (cloud) using AWS S3 Glacier Deep Archive for backup of ECS. ECS is replicated on site at DEN-1. Backups performed by different appliances depending on the type of storage. Plans for 2nd data center in Wyoming (for just data replication).</td>
<td>No existing DR strategies. Fail overs? Availability guarantees? SLA?</td>
</tr>
<tr>
<td><strong>OOI</strong></td>
<td>Replacement? How long is this data kept in retrieval locations (e.g., Pacific City)? How much is buffered or cached?</td>
<td>West Coast isn’t used for processing but could be. Has plans for failover.</td>
<td>Redundant network links between East and West.</td>
<td>West Coast replicates data from East Coast. No automatic failover, but has plans.</td>
</tr>
<tr>
<td><strong>IceCube</strong></td>
<td>Replace with a spare.</td>
<td>Good separation between remote and central processing. Distributed processing provides resilience.</td>
<td>Different ways to transmit - plane, satellite, Internet. GridFTP to both DESY and NERSC.</td>
<td>At least 4 copies of data in different locations: 1 copy kept at the South Pole, 1 each in UW, DESY and NERSC.</td>
</tr>
<tr>
<td><strong>LSST</strong></td>
<td>Base Facility has a copy of data. Significant buffering planned for anticipated network failures.</td>
<td>Multiple facilities do processing of different types. No failover or redundant processing capabilities.</td>
<td>Redundant connection from BASE to NCSA. Protection against network failures for Summit to Base and from Base to NCSA</td>
<td>3 copies of data reside in different places: Base facility in Chile, NCSA, CC-IN2P3 (France)</td>
</tr>
</tbody>
</table>

**Strategies for LFs**
- Caching/buffering
- Backup copies
- Replace with a spare
- Failover compute sites?
- Plans for failover
- Redundant connections
- Data replication
- Backup services
- Automatic failovers for data access
- Multiple data access points
• **Cross-cutting finding:** Although some DR strategies exist across some stages of the DLC for some LFs, DR hasn’t been taken into account to the fullest extent it warrants when designing the CI architecture for LFs.

• There is a need for some careful consideration of **requirement analysis and planning for DR** as an effective process to be followed **before and after** a possible disaster.

• Developing an effective processes guide for planning for Disaster Recovery for LFs
  
  • **DR Planning Phase template** that Large Facilities can follow for planning for Disaster Recovery.
  
  • Based on federal guidance for developing an **Information System Contingency Plan (ISCP)** after doing a thorough **Business Impact Assessment (BIA)** – **NIST 800-34r1**
    
    (https://nvlpubs.nist.gov/nistpubs/legacy/sp/nistspecialpublication800-34r1.pdf)
Information System Contingency Planning (ISCP) major steps

Overview: Top-level view of CI DR needs of the LF and serves as a summary and context.

Conduct Business Impact Analysis (BIA)

- Mission, data life cycle, and recovery criticality: CI for the entire data life cycle is identified and impact of disruption to those systems is determined along with outage impacts and estimated downtimes.
- Resource requirements: Thorough evaluation of resources required to restore systems and processes supporting the data life cycle and related interdependencies.
- Recovery priorities for system resources: Link system resources to critical mission and business processes for LFs and establish priority levels for sequencing recovery activities and resources.

Create Contingency Strategies

- (a) Backup and Recovery; (b) Backup methods and Offsite Storage; (c) Alternate Sites; (d) Equipment Replacement; (e) Cost Considerations; (f) Roles and Responsibilities; (g) Plan testing, training and exercises

We have created example DR Planning Phase templates for NEON and IceCube and engaged with them to validate and refine the template. We plan to engage with other LFs.
DR Planning case study – IceCube LF
### Mission and data life cycle example (IceCube)

<table>
<thead>
<tr>
<th>Mission/Business Process</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Collect observational data for scientific inquiry</strong></td>
<td>Operate an array of photodetectors buried in a cubic kilometer of ice at the south pole</td>
</tr>
<tr>
<td><strong>Ingest data from sensors for further use</strong></td>
<td>Operate networks at the south pole, satellite and physical data transport to UW-Madison, and bulk internet transfer to DESY-ZN and to NERSC (National Energy Research Scientific Computing Center). Notification of neutrino events to worldwide observatories, both radio and optical.</td>
</tr>
<tr>
<td><strong>Process data for QA, events, and production of private and public datasets</strong></td>
<td>Operate clusters of computers to analyze data for interesting events, QA, and calibration. Data reduction for low bandwidth satellite link.</td>
</tr>
<tr>
<td><strong>Archive data for future use</strong></td>
<td>Operate a curated repository of collected and processed data redundantly copied across three institutions.</td>
</tr>
<tr>
<td><strong>Disseminate data</strong></td>
<td>Provide alerts for interesting astrophysical events, i.e. during L1 analysis, if an event of interest is detected, an alert is sent out immediately. Operate an access portal to support querying the collection and accessing the stored and/or computed results. Provide well documented access methods and ensure that access methods can be added into the far future as needed.</td>
</tr>
</tbody>
</table>
## Recovery Criticality – Outage Impacts example (IceCube)

<table>
<thead>
<tr>
<th>Data Life Cycle Stage</th>
<th>Impact Category Mission Impact</th>
<th>Impact Category Science Return</th>
<th>Cost</th>
<th>Impact</th>
</tr>
</thead>
<tbody>
<tr>
<td>Collect observational data for scientific inquiry</td>
<td>Severe</td>
<td>Severe</td>
<td>Severe</td>
<td>Severe</td>
</tr>
<tr>
<td>Ingest data from sensors for further use</td>
<td>Severe</td>
<td>Moderate</td>
<td>Moderate</td>
<td>Severe</td>
</tr>
<tr>
<td>Process Data for Multimessenger GCN Alerts</td>
<td>Severe</td>
<td>Severe</td>
<td>Moderate</td>
<td>Severe</td>
</tr>
<tr>
<td>Process data for QA, and production of private and public datasets</td>
<td>Moderate</td>
<td>Moderate</td>
<td>Minimal</td>
<td>Moderate</td>
</tr>
<tr>
<td>Archive data for future use</td>
<td>Severe</td>
<td>Severe</td>
<td>Severe</td>
<td>Severe</td>
</tr>
<tr>
<td>Disseminate data - Alerts</td>
<td>Severe</td>
<td>Severe</td>
<td>Minimal (short term)</td>
<td>Severe</td>
</tr>
<tr>
<td>Disseminate data – Level 2+ processed products</td>
<td>Moderate</td>
<td>Minimal</td>
<td>Minimal</td>
<td>Moderate</td>
</tr>
</tbody>
</table>

Outage Impacts

Impact category: {Severe, Moderate, Minimal}
### DR Effective Processes: BIA: Mission, DLC, Criticality

- **Recovery Criticality – Estimated Downtimes example (IceCube)**

<table>
<thead>
<tr>
<th>Data Lifecycle Stage</th>
<th>MTD</th>
<th>RTO</th>
<th>RPO</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Collect sensor data for scientific inquiry</strong> – operation of the actual sensors</td>
<td>1 hour</td>
<td>1 hour</td>
<td>N/A</td>
</tr>
<tr>
<td><strong>Ingest data from sensors for further use</strong></td>
<td>Optical DOMs to IC Lab: 2 days; IC Lab to South Pole Lab: 6 months</td>
<td>1 day from DOM; 1 month from lab to station</td>
<td>1 day</td>
</tr>
<tr>
<td><strong>Process data for QA, events, and production of private and public datasets</strong></td>
<td>Events: 1 hour; All others: 1 month</td>
<td>Events: 30 minutes; Others: 4 days</td>
<td>Equal to recovery time</td>
</tr>
<tr>
<td><strong>Archive sensor data for future use</strong></td>
<td>6 months w/ no loss</td>
<td>1 hr</td>
<td>1 day</td>
</tr>
<tr>
<td><strong>Disseminate data - alerts</strong></td>
<td>6 hours</td>
<td>3 hours</td>
<td>None</td>
</tr>
<tr>
<td><strong>Dissemination – Level 2+</strong></td>
<td>1 year</td>
<td>1 month</td>
<td>1 day</td>
</tr>
</tbody>
</table>
**Resource requirements example (IceCube)**

- For each step in the Data Lifecycle and the identified level of recovery criticality, describe resources used for day-to-day operation and the resources needed for recovery in the event of an outage.

<table>
<thead>
<tr>
<th>System Resource/Component</th>
<th>Platform/OS/Version (as applicable)</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Collect observational data for scientific inquiry</td>
<td>DOMs (custom)</td>
<td>Sensors buried in the ice. Also power, IceCube Laboratory Facilities, staff</td>
</tr>
<tr>
<td>Ingest data from sensors for further use</td>
<td>Data communication to DOMs, network to South Pole Station, rest of world</td>
<td>Communications from DOMs, network to South Pole station, satellite and sneakernet to rest of world. JADE software for archive and distribution worldwide.</td>
</tr>
<tr>
<td>Process data for QA and for computed results</td>
<td>Server clusters, other CPU/GPU resources, HTCondor compute middleware, data distribution software</td>
<td>Datacenters at IceCube Lab and South Pole Station with special challenges. Data centers at UW-Madison, DESY, and NERSC. Distributed computing on OSG, XSEDE, DOE resources.</td>
</tr>
<tr>
<td>Archive sensor data for future use</td>
<td>JADE</td>
<td>Long term archive and archive management</td>
</tr>
<tr>
<td>Disseminate data</td>
<td>Web servers, data access/distribution middleware</td>
<td>Public-facing Portal, other data distribution methods (xrootd, ceph etc.)</td>
</tr>
</tbody>
</table>
## DR Effective Processes: BIA: Recovery Priorities

- **Recovery priorities example (IceCube)**

<table>
<thead>
<tr>
<th>Priority</th>
<th>System Resource/Component</th>
<th>Recovery Time Objective</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Collect environmental data for scientific inquiry</td>
<td>1 hour</td>
</tr>
<tr>
<td>4</td>
<td>Ingest data from sensors for further use</td>
<td>Optical DOMs to IC Lab: 1 day; IC Lab to South Pole Lab: 2 months</td>
</tr>
<tr>
<td>5</td>
<td>Process data for QA and for intermediate results</td>
<td>Events: 30 minutes Others: 4 days</td>
</tr>
<tr>
<td>3</td>
<td>Archive sensor data for future use</td>
<td>1 hour</td>
</tr>
<tr>
<td>6</td>
<td>Disseminate data – Level 2+</td>
<td>1 month</td>
</tr>
<tr>
<td>2</td>
<td>Disseminate Alerts</td>
<td>3 hours</td>
</tr>
</tbody>
</table>
• DLC is **ONE** way to **learn, reason and catalog the CI functionalities** at each stage of data operation for LFs.

• DLC abstraction helps reasoning about
  • What services are offered by each DLC stage?
  • What CI architectural elements support each DLC stage?

• There are both **fundamental commonalities and differences** across LFs for DLC.

• **Devil is in the details** for both for DLC and DR; Many a time, specific elements or types of data are prioritized.

• **Heterogeneity of data processing** – the set of processes handling the data differs according to the type of data.
Data Life Cycle and DR Planning: Lessons Learned

- Heterogeneity of tools and CI stacks.
- DR planning template was a good way to start thinking about disaster recovery – a framework to document and prioritize CI architecture and operations.
- DR planning template can be used by LFs to quantitatively justify CI elements for construction or future enhancements.
- Effective communication between LF CI professionals and CiCOE Pilot team was key to thorough understanding; Documentations and reports are necessary but not sufficient.
Thank you !!
Questions ?
Feedback